Grants and the Academic Library Environment

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Workshop agenda

- World of Grants and Libraries
- Grant environment
- Funding organizations
- Getting Started
- ASU Office of Research and Sponsored Projects Administration
- Q&A
World of Grants and Libraries: Some assumptions

- What are *Your* Assumptions?
- They’re Hard to Get!
- It’s A lot of Work!!
- The Library Needs a Grant Writer to Get Grants.
- Only Science Faculty Get Grants.
- There’s No Grant Money for Libraries.
Some Realities About Grants and Libraries

- There is Funding for Libraries, just Not as Much as there is in the Sciences.
- There are Ways to Increase You’re Success Rate.
- Yes, You Have to Invest Time to be Successful.
- You can write successful grants without a grant writer.
- The Library Needs to Establish a Culture of Grantsmanship.
Culture of Grantsmanship

- Grants can be a way of doing business
  - It’s not something that is done by one individual, they are cross unit, team efforts
  - It’s not ‘the’ solution of the day, it takes time to develop
- Develop broad based understanding of grant writing—train people in grant writing
- Identify how grant program can advance interests of librarians and advance library mission
Grants should...

- Support Library’s role in teaching and learning
- Support increased access to collections
- Directly or indirectly provide additional resources for the library
- Position the Library for “Excellence” on campus and among peer institutions
- Be a risk worth taking
- Be worth doing
- Be supported by senior management
Just the facts

- 68,000 Non-governmental foundations gave grants in 2005
- 500,000 organizations receive support from grants from all types of organizations
- $33.6 billion+ annually allocated in response to non-governmental proposals
- 1 in 10 proposals get funded
- 5.5% increase in amount over 2004
Funding Sources

- Government agencies: Local, State, Federal
  - IMLS, LSTA, NEH, NEA, NSF
- Foundations—local and national
  - Incorporated non-profit organizations
  - Policies establish nature and limitation of support
    - Type of program; Geographic region; Min/max funding;
    - Type of organization that can receive funding, etc.
- Campus--ASU probably has small research grants to support faculty research; Big XII travel grants to support exchanges
- ALA has small grants
Federal Funding Information

- IMLS— http://www.imls.gov
- NEH— http://www.neh.gov
- NEA— http://www.nea.gov
- NHPRC— http://www.nara.gov
- National Park Service--America’s Treasurer’s— http://www.cr.nps.gov/treasures
- The Catalog of Federal Domestic Assistance, annual publication of OMB http://www.cfda.gov
IMLS Grants

- Laura Bush 21st Century Librarian Grant
- National Leadership Grants for Libraries
  - Advanced Learning Communities
  - Building Digital Resources
  - Research and Demonstration
- $15K-$1M; requires in-kind match;
- Grants period: Up to 3yrs.
- Collaboration: Encouraged across all programs
- Eligibility: All types of libraries except federal and for-profit
- Deadlines between December and March
NEH Preservation and Access Grant Categories

- Preservation Assistance Grants
- Stabilization of Humanities Collections
- Grants to Preserve and Create Access to Humanities Collections
- Preservation and Access Research and Development Project Grants
- Challenge Grants
- We the People—Additional funding for these categories.
- Others for Statewide or Collaborative Projects
NEH GUIDELINES ARE REVISED OFTEN

- narrative requirements may change
- better instructions for how to answer
- elicit more information about collections and how they are used
- evaluation criteria will also change: adequacy of the information provided about collections and their use
Five Kinds of Foundations

- General-purpose: large endowments, governing board, professional staff, ex. Ford, Rockefeller, Mellon, Kress

- Special-purpose
  - single purpose foundation
  - purpose determined by founder

- Company-sponsored foundations: non-profit entities within a profit making company (Target)

- Community foundations: fund within a specific geographical region

- Family foundations
  - rarely staffed, administered by attorney
  - make contact directly with family/individual
Matchmaking

- Find a foundation that ‘works’ with your program—it may be a local foundation.
- The *Foundation Directory* provides information on over 3000 of the larger foundations.
- Seek out local foundations that support your interests—Foundation Directory of Arizona.
- Community of Science database—http://fundingopps2.cos.com/
- *Big Book of Grants*—published by ALA.
Getting Started

- Generate concepts
- Develop project
- Prepare proposal
- “Matchmaking”—identify potential funders
- Target the proposal
- Administer the grant
What’s the Big Idea?

- Grant dev. Is a team effort.
- Planning session
  - Define project
  - Needs assessment
  - Who needs to be involved to make the project successful
  - Potential funders
  - Timeframe for grant development

- Subsequent Team Meetings
  - Finalize funding agency
  - Review requirements of the program
  - Who will: Research and write proposal
  - Develop budget
  - Contact funders
  - Work with ORSP
  - Finalize grant
The Elements of a Proposal

- Letter of Transmittal
- Title Page
- Table of Contents
- Summary/Abstract
- Introduction to the Proposal
- Statement of the Problem/Need
- Goals/Objectives/outcomes
- Methodology/Work Plan
- Evaluation
- Budget
- Supporting Documents
  - Resumes
  - Appendices
The Proposal: Helpful Hints

- Do your research into the latest research and projects, who else is doing work in this area
- Be knowledgeable of latest grants in this area
- Show how new technology can improve service, how new collaboration can impact the audience/s, how application of new standards will reach new audiences or improve productivity
- Understand what grantors and funders are looking for in 2006-2007
- More grants are awarded to collaboratives than to individual institutions.
The Proposal: Other Helpful Hints

- 1-2 main writer, many contributors
- Follow funder guidelines carefully
- Do your research; get your facts right
- One central need -- all ideas flow from that
- Private funders--establish the relationship before applying for a grant.
- Avoid library jargon, understand that people won’t know the subject as well as you do.
Grant Review Process

- Reviewers/Panelists
  - Who they are—experts in the field, plus generalists
  - What they do—
    - Indepth review of the proposal
    - Comments to agency; comments to writers
  - How they do it: IT DEPENDS
    - Written assessment
    - Panels
  - Agency staff—Some agencies will review the proposal before panel review—NEH; other’s will talk with you about your proposal—IMLS

- Final say is with the Agency Director
ASU Office of Research and Sponsored Projects

- How they will support you
  - Training
  - Approve all Grants
  - You will have to write the grant documents; check all spelling
  - Do the budget--they will check the budget
  - Do the actual submittal—now done electronically for feds.
- Funding Newsletter, Online alerts
- http://researchadmin.asu.edu/fundinfo/
Research at ASU
Economic Affairs
Research Administration
Researcher Support
Business Resources
Faculty Expertise
Presentations

RESEARCH NEWS AND STORIES

Goodnick named associate vice president for research: ASU nanotechnology leader assumes new role

Groundwork begins for ‘innovation pipeline’ Work is set to start at ASU on building an "innovation pipeline" for turning discoveries in nanotechnology into business ventures.

New Morrison Institute Report
The Treasure of the Superstitions: Scenarios for the Future of Superstition Vistas. Arizona’s Premier
ASU must embrace its role in the community and advance research that benefits society.

READ MORE ABOUT ASU'S DESIGN IMPERATIVES

Research Facilities
- Newest Research Facilities
- Research Infrastructure Bill
- Next Phase Development

Reporting on Research
- Executive Information System
- Sponsored Projects Activity Reports (login required)
- 2004 Annual Report of Sponsored Projects & TRIF-supported Activity

researcher support

Office for Research and Sponsored Projects Administration
ORSPA assists faculty, staff and students to secure and administer outside support for their instructional, research, and public service activities.

- Research Compliance
- Funding Opportunities
- Contracting
- Reporting on Research

Sponsored Projects Report Card
www.asu.edu/dashboard (login required)
This executive information system was created by Research and Economic Affairs to help deans, chairs, and other administrators track externally-sponsored projects activity within their units and across ASU. This web-based tool currently consists of three dashboards for the graphical display of proposal, award, and expenditure activity. Each dashboard displays a sequence of four graphs representing activity at the university, college/school, department, and individual faculty/researcher levels.
Please Log In

All users must create a new account the first time they use this site in order to gain access to the full database and website options. Your personal information will be provided only to other AzGATES users unless you specify otherwise. We will not sell or distribute your information to outside parties.

Please enter your email:

Please enter your password:

Log In

Other options:
- Click here to create a new account.
- I forgot my password
Final thoughts

- Be creative, funders are looking for you to take risks
- Collaboration is a plus in grant world
- Do your needs assessment
- Understand your audience and your project purpose
- Leave enough time to write and rewrite
- Find someone to read your grant
- Remember only 1 in 10 gets funded, so you need to submit a lot of proposals.